Applicants for grant assistance must provide responses to the categorical evaluation criteria AND the Administrative Priorities in their grant proposals. Both sets of evaluation criteria are given below for public review and comment. Please provide any comments to the DHPA Grants Staff by Friday, July 21, 2006. All public comments received will be presented at the meeting of the State Historic Preservation Review Board on July 26, 2006.

All public comments must be received in writing. Send comments by fax to 317-232-0693, by e-mail to skennedy@dnr.IN.gov, or by mail to DHPA Grants Staff, 402 W. Washington St., Room W274, Indianapolis, IN 46204-2739.

FY2007 ARCHAEOLOGY PRIORITIES
(Proposed changes for 2007 are indicated in bold type)

The Archaeology Category has been divided into two subcategories. Proposals submitted for grant consideration must fall into either one (but not both) of these subcategories. Survey, testing, and historic context projects will receive the majority of the total funding available for archaeology. These proposals should address questions #1 through #9 in Subcategory I. Projects specifically devoted to promoting public awareness and education or the preparation of nominations to the National Register of Historic Places for archaeological sites will receive up to $30,000 of the total funding available for archaeology. These proposals should address questions #10 through #16 in Subcategory II. Proposals submitted under Subcategory I will not compete against proposals submitted under Subcategory II, as the total funding available for the Archaeological Category has been divided into the two set-aside amounts.

Applicants should note that while it is permissible to submit grant applications in both Subcategory I and Subcategory II, it is unlikely that both proposals would be funded. Therefore, if submitting one grant application in each subcategory, the proposed projects must be able to stand independently of each other in case one is funded and the other is not.

Instructions: Provide complete but concise answers for each of the priority statements below. Please be as specific as possible in your answers, and explain exactly how the proposed project will meet the priority issues. Most projects will adequately address one or more priorities, while only partially addressing others, and will be scored accordingly. No project will address every priority statement. When a proposed project does not address a specific priority, mark "NA" as the response.

FEDERAL REQUIREMENTS FOR ARCHAEOLOGY PROJECTS:

Eligible Activities:
- **Reconnaissance Survey** (Phase I-a: "archival research and a field visit to determine the identity and location of resources present in an area. Such surveys should be designed so that a determination can be made from the results as to when it is worthwhile to obtain the additional level of documentation (through an Intensive Level Survey) necessary for a National Register nomination").
- **Intensive Survey** (Phase I-b and Phase I-c: "the systematic, detailed field (and archival) inspection of an area designed to identify fully architectural, archaeological, and historic properties; and calculated to produce a level of documentation sufficient, without any further data, to evaluate National Register eligibility").
- **Resurvey Activities** ONLY to modify documented boundaries, identify resources not included in the property's previous eligibility determination, establish a property's relationship with other resources as part of historic context development, or upgrade existing inventory data.
- **Limited Testing** (Phase II) ONLY "to the extent needed to collect sufficient information to identify the resource and to assess National Register eligibility."

NOTE: Research and Excavation **beyond limited testing** is eligible ONLY when it:
- a) directly addresses the Secretary of the Interior's Standards for Identification,
- b) directly contributes to increasing an understanding of a National Register property or its evaluation/documentation,
- c) directly contributes to the preservation of a National Register site.

Ineligible Activities:
- Any archaeological activities that do not meet the eligibility criteria described above;
- Curation of archaeological collections beyond the project end date;
- Exhibition of archaeological collections beyond the project end date;
- Mitigation activities (Phase III).

ARCHAEOLOGY SUBCATEGORY I: Survey, Testing, and Historic Contexts
(Max. funding request $50,000 per proposal)

Max.
Score: Priority will be given to:

24 pts   1. Projects that will involve field reconnaissance of areas that will be impacted by imminent, specific, near-future development, redevelopment, or construction projects, or projects that will survey areas that have already been damaged by development, construction, erosion, flooding, or other forces. *Describe the specific development or construction projects and activities that are planned, or the types of damage that have already occurred, and explain the predicted or actual affect on the area and its resources.*

20 pts   2. Projects that will field survey areas with data deficiencies specifically targeted by the State (refer to the "Archaeological Goals and Objectives, Part I"). *Describe the area that will be surveyed, the data deficiencies, and the cultural manifestation(s) that will be investigated. Note: Survey areas located totally within any of the regions identified in "Part I: Topical / Geographical Target Areas" will receive up to 20 points; survey areas located partially within any of these high priority regions will receive a maximum of 10 points.*

20 pts   3. Projects that will include historic contexts (study units) or activities that include systematic regional reconnaissance survey (refer to the "Archaeological Goals and Objectives, Part II"). *Describe the project and how it will provide one or more of the listed historic contexts. Note: Historic context topics covered by "Part II-1: Higher Priority Topics" will receive up to 20 points, while topics only partially pertaining to those listed in Part II-1 will receive fewer than 20 points. Historic context topics covered by "Part II-2: Middle Priority Topics" will receive a maximum of 14 points, while topics only partially pertaining to those listed in Part II-2 will receive fewer than 14 points. Historic context topics covered by "Part II-3: Lower Priority Topics" will receive a maximum of 8 points, while topics only partially pertaining to those listed in Part II-3 will receive fewer than 8 points. An historic context study topic that overlaps any of these three areas may not result in a score higher than 20 points.*

20 pts   4. Projects that will record resources and/or data that are threatened and potentially eligible for, or already listed in, the National Register of Historic Places. *Describe the threats to the resources, and how the resources and/or data will be recorded. State whether the resources are listed in the National Register, or describe how they may be eligible for the National Register.*

12 pts   5. Projects whose primary purpose is the limited testing and National Register nomination of one of the DHPA-identified "Special Topics." *Note that written landowner permission MUST be obtained and submitted as part of the grant proposal. A curatorial agreement MUST also be submitted as part of the grant proposal. Without guaranteed landowner permission and a curatorial agreement, the application will not be considered complete and the DHPA may not*

consider the proposal. Please be advised that if landowners request alternate artifact curation, the DHPA must be notified of the agreement and may require additional analyses during the grant project in coordination with the DHPA. Survey activity at a Special Topics Site is only eligible for 50/50 grant reimbursement. If the Special Topics Site is not currently listed in the National Register, the project MUST include nomination of the site.

12 pts   6.   Projects that include a component to promote public awareness and knowledge of archaeology in Indiana through the creation or implementation of specific public education components. *These may include: public education materials such as pamphlets and brochures, videos and slide shows to be deposited at public institutions for public viewing and use, modules and materials for use by educators, a scheduled series of public presentations, projects specifically geared toward work with avocational groups and volunteers, and conferences and workshops. Describe the education component in detail, explain how it will promote public awareness and knowledge of archaeology in Indiana, and identify the target audience(s). Public education components that will coincide with Indiana Archaeology Month (September) and will be registered with the DHPA's Archaeology Outreach Coordinator will receive up to 12 points. Public education components that will not coincide with Indiana Archaeology Month will receive a maximum of 6 points.*

8 pts   7.   Projects that will study minority-related resources of the historic period (1816 to **1957**). *Describe the historic minority- or disadvantaged-related resources that will be included in the project and justify why they were chosen for inclusion.*

20 pts   8.   Projects that will make an original or unique problem-oriented contribution to the body of archaeological knowledge of Indiana. *Describe the problem(s), hypotheses, methodology, and/or theoretical orientation of the project, and explain how the project will contribute to archaeological knowledge in Indiana.*

20 pts   9.   Projects that will assist the DHPA in carrying out its responsibilities dealing with environmental review and compliance issues and other mandated duties. Examples of projects that meet this priority include large-scale surveys and, to a lesser extent, limited testing of Special Topics Sites. *This priority is for the DHPA to evaluate and does not require an answer.*

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156 Points Possible for Subcategory I

ARCHAEOLOGY SUBCATEGORY II: Public Education and National Register Nominations
(Max. funding request $10,000 per proposal)

Max.
Score: Priority will be given to:

20 pts   10.   Projects whose primary purpose is to nominate an Indiana archaeological site (or sites) to the National Register of Historic Places. *Describe in detail the archaeological site (or sites) to be nominated. Please note that formal written landowner permission MUST accompany the proposal – any proposals submitted without landowner permission will not be considered. Proposals to prepare one nomination will receive 10 points; proposals to prepare two nominations will receive 15 points, and proposals to prepare three or more nominations will receive 20 points.* **Sites on the Special Topics List that are not already listed in the National Register may receive extra consideration under this criterion.**

12 pts   11.   Projects that propose to nominate sites that will make an original or unique contribution to the National Register. *Describe how the nomination(s) will constitute a valuable addition to the less than fifty Indiana archaeological sites currently listed in the National Register.*

12 pts 12. Nomination projects that will involve an endangered resource type and/or a resource type that has not previously been nominated to the National Register. *Describe the resource(s) to be nominated, as well as any imminent threats to the resource(s).*

20 pts 13. Projects whose primary purpose is to promote public awareness and education regarding archaeology in Indiana through the creation or implementation of specific public education components. *These may include: public education materials such as pamphlets and brochures, videos and slide shows to be deposited at public institutions for public viewing and use, modules and materials for use by educators, a scheduled series of public presentations, projects specifically geared toward work with avocational groups and volunteers, and conferences and workshops.* **(Note that the development of permanent exhibits is not allowed under federal program guidelines.)** *Describe the educational component in detail, explain how it will promote public awareness and knowledge of archaeology in Indiana, identify the target audience(s), and estimate the number of individuals expected to participate.*

10 pts 14. Education projects that will have local community involvement or support. *Describe how the project will involve local community interest groups. These may include, but are not limited to, local county historical societies, Native American organizations, avocational archaeology groups, convention or visitor bureaus, civic associations, and teacher organizations.*

10 pts 15. Education projects whose products will be able to be used **or reused** in future years, **as opposed to one-time use**. *Describe how the products created under this project will be able to be utilized after the project is completed, provide an estimate of the useful life of these products**, and describe any anticipated periodic maintenance, revision, reprinting, etc.***

6 pts 16. Projects that include public education components that will coincide with Indiana Archaeology Month (September). *Such components will receive up to 6 points. If funded, the public education components must be registered with the DHPA's Archaeology Outreach Coordinator. Public education components that will not coincide with Indiana Archaeology Month will not receive these points.*

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90 Points Possible for Subcategory II

ARCHAEOLOGICAL GOALS AND OBJECTIVES

The items listed below reflect Indiana's topical/geographical and historic context needs as identified by the Division of Historic Preservation and Archaeology.

All Archaeology Subcategory I projects should seek to broaden the state's historic and prehistoric archaeological database and answer questions critical to understanding of past human behavior and life ways. Consequently, the HPF Archaeology Category is keyed directly to prioritized topical / geographical target areas and the development of specific historic contexts that are critically important to address with this round of funding. Archaeology Subcategory II projects will be evaluated based on the number and quality of the proposed National Register nominations that will be produced or the quality or scope of the proposed educational project.

The Topical / Geographical Target Areas, noted below in Part I, indicate those areas within the state for which we (a) have less in the way of either an historic or prehistoric database, and (b) are subject to some form of land-use impact (natural and/or mineral resource extraction, metropolitan and/or industrial development, natural impacts, and detrimental agricultural impacts) that may affect the known cultural resource database and/or remove those resources that are yet to be discovered.

The prioritized Historic Contexts, noted below in Part II, reflect deficiencies in the state's database that may affect the state's performance in preservation planning, development of the state archaeological inventory, archaeological property evaluations, and the review of state and federal projects. Projects that seek to develop formal historic contexts (study units) will help guide the state plan in setting future priorities for archaeological survey and evaluations. Therefore, such projects are important to the continued development of Indiana's historic preservation and archaeology program.

PART I: Topical / Geographical Target Areas

All of the geographic areas noted below require reconnaissance level surveys to locate, identify, and evaluate sites related to the entire prehistoric cultural sequence and that of the subsequent Euro-American occupations.

Priority Areas:

    a.   Areas along major water courses that have not been previously surveyed, have a high probability for site locations, and are threatened by development, mining or other mineral extraction, flooding, and erosion.

    b.   Unsurveyed areas with a high probability for locating archaeological sites in the following counties: Floyd, Scott, Jasper, Benton, Fulton, Pulaski, Newton, Blackford, Starke, Montgomery, Fountain, and Ripley.

    c.   Areas along major transportation corridors, such as Interstate Highways, with the potential for significant development.

    d.   Unsurveyed areas in the following rapidly developing counties: Clark, Dearborn, Elkhart, Hamilton, Hancock, Hendricks, Johnson, Marion, Monroe, Porter, Vanderburgh, and Vigo.

    e.   Special Topics Section: mound surveys in counties not already inventoried, sand ridges in Northern Indiana, glacial lakes in Northern Indiana, and uninvestigated rockshelter resources. High priority consideration will also be accorded for the evaluation of specific threatened sites targeted by the DHPA (refer to the attached list of sites entitled "Special Topic Sites").

PART II: Historic Contexts

1. Higher Priority Topics:

a. Vincennes Culture, Western Basin Tradition in northeastern Indiana, Huber-Fisher-Langford Tradition in northwestern Indiana, Fort Ancient in southeastern Indiana (particularly early Fort Ancient).

b. Allison-LaMotte culture, Newtown, Intrusive Mound, Walkerton, Early Woodland (non-Adena).

c. Pioneer period and early settlement in Indiana, historic farmsteads and homesteads, early Euro-American settlements and towns, transportation related sites, industrial and urban sites, schools, military-related resources.

2. Middle Priority Topics:

a. Goodall, Adena-Hopewell habitation

b. Mann, Crab Orchard

c. Bluegrass-French Lick

d. Early Archaic, Middle Archaic

e. Historic Indians

3. Lower Priority Topics:

a. Middle Mississippian

b. Late Archaic, Terminal Archaic

c. Marine cultural resources

d. Oliver

e. Albee

f. Paleoindian (This item has been changed from a Middle Priority to a Lower Priority Topic)

g. All other cultural traditions

SPECIAL TOPICS SITES
for Subcategory I projects:

Swan's Landing Site (12Hr304)
Harrison County
Camp, lithic manufacturing site
*National Register listed site

Breeden Site (12Hr11)
Harrison County
Shell midden

12Hr12 Site
Harrison County
Shell midden

Kramer Site (12-Al-15)
Allen County
Village, circular enclosure

Mann Site (12Po2)
Posey County
Village, earthworks complex
*National Register listed site

Bertsch Site (12Wy45/46)
Wayne County
Mound, earthwork

Graves Enclosure Site (12Wy39)
Wayne County
Mound, earthwork

Little Pigeon Creek Site (12W340)
Warrick County
Village

All Seasons Site (12Mi225)
Miami County
Habitation Site

Cedar Bluff Rockshelter Site (12Mn72)
Martin County
Rockshelter

Reid Site (12Fl1)
Floyd County
Shell mound/midden

Cummings Mound Site (12D514)
Dearborn County
Mound

12D486 Site
Dearborn County
Habitation site

Yankeetown Site (12W1)
Warrick County
Village
*National Register listed site

12Sp7
Spencer County
Multi-component habitation site

FY2007 ADMINISTRATIVE PRIORITIES
(Proposed changes for 2007 are indicated in bold type)

Instructions: Provide complete but concise answers for each of the priority statements below. Please be as specific as possible in your answers, and explain exactly how the proposed project will meet the priority issues. Most projects will adequately address several priorities, while only partially addressing others, and will be scored accordingly. No project will address every priority statement. When a proposed project does not address a specific priority, mark "NA" as the response.

Max.
Score: Priority will be given to:

16 pts   1.   Projects that have clear and measurable goals and will result in the creation of valuable products for the State. *Note that the scope of work must be realistic and commensurate with the amount of grant funding requested. Carefully describe the project methodology—how is the project going to be accomplished and what is the project going to produce? List the products or work items individually and specifically and include quantities if applicable (for example: Walking Tour Brochure, 500 copies). Please do not simply repeat the project description.*

16 pts   2.   Projects whose sponsors have an individual capable of grant administration to act as Project Coordinator. *Provide the name of this person, list their qualifications and grant-administration experience (if any), and submit their resume with the project proposal. Note that the past performance of Project Coordinators on DHPA-funded grant projects is documented and will be considered.*

16 pts   3.   Projects whose sponsors have an individual capable to act as Principal Investigator. *In some cases, the P.I. will be a member of the project sponsor organization and can be named in the application. In other instances, a P.I. will not have been identified at the time of application, but will be hired in the course of the grant. In this case, "To Be Determined" is a sufficient response and applicants will receive half credit for this criterion. Please note that any P.I. receiving payment for services as part of the grant budget MUST be hired according to federal and state procurement standards and contracts should NOT be arranged prior to the grant start-up. If a P.I. is donating services, or is being paid off-budget from the grant, please provide the name of this person, list their qualifications (they must meet applicable 36 CFR 61 qualifications) and any previous experience on grant projects, and submit their resume with the project proposal. Note that the past performance of Principal Investigators on DHPA-funded grant projects is documented and will be considered.*

16 pts   4.   Projects that have realistic timetables. *Include a detailed timetable that shows the approximate amount of time (days, weeks, or months) that will be devoted to each of the various phases, tasks, or components of the project. Providing only the begin and end dates for the project does NOT constitute an acceptable timetable.*

16 pts   5.   Projects that have realistic and reasonable budgets. *Include a detailed budget breakdown, indicate exactly how the various budget figures (line items) were computed, and include copies of any estimates received. Provide a justification for any items that are unusually expensive or inexpensive (such as discounted or donated goods or services). Upon review of the proposal, the DHPA reserves the right to adjust the scope of work or the grant request in cases where the project budget is out of line with the products to be created.*

12 pts   6.   Projects whose sponsors have not received funding through the DHPA's grants program within the last three fiscal years. *Indicate whether or not the project sponsor has ever received funding in the past from the DHPA, and list the years in which any grant assistance was received. Note that past performance of sponsoring organizations on DHPA-funded grant projects is documented and will be considered.*

12 pts   7.   Projects that will be undertaken by a governmental agency that has been designated by the National Park Service as a Certified Local Government (CLG) for the purpose of carrying out historic preservation activities. *Currently there are seventeen CLGs in Indiana: Bloomington, Crown Point, Elkhart, Evansville, Fort Wayne, Huntington, **Lafayette**, LaPorte, Logansport, Mishawaka, Monroe County, Muncie, Nappanee, **New Albany**, Richmond, South Bend, and St. Joseph County. Indicate whether or not the project sponsor is a Certified Local Government. Projects that are "co-sponsored" by a CLG and another entity will only receive 6 points.* **(The National Park Service designated the Lafayette and New Albany CLGs in late 2005.)**

10 pts   8.   Projects whose sponsors can show evidence of broad-based community support by submitting letters endorsing the proposed project. *These letters of support must be original, project-specific, and current, and should not be from any person or organization directly associated with the applicant. Support letters should be sought from historical societies, neighborhood organizations, elected officials, local businesses, and/or any other groups or individuals that might have an interest in the project. Submit these letters along with the project application, or have the authors forward them directly to the DHPA **no later than the grant application deadline**. The number of points awarded for this item depends on the number and variety of support letters submitted. **Note that form letters and signed petitions are NOT counted. Applicants should limit their support letters to no more than 20.***

8 pts   9.   Projects whose sponsors have 100% of the matching share on-hand and documented. *In addition to the signed Matching Share Form, provide copies of bank statements, university research program budgets, local government departmental budgets, or other documentation to demonstrate that all of the matching share funds are available. Applicants that claim to have 100% of the matching share but do not document it will NOT receive full credit. Applicants that can document only 75% to 99% of the matching share will NOT receive full credit. Applicants that have less than 75% of the required matching share, documented or not, will not receive any points.*

8 pts   10.   Projects whose sponsors will use a matching share consisting of any combination of cash and in-kind services, with volunteer services not to exceed 10% of the total amount of the matching share. *Describe the match to be used and provide a breakdown if two or more match types are to be included. Maximum points will be given for a match consisting totally of cash or in-kind contributions, or a combination match that includes no more than 10% volunteer services. Project matching shares that include 11% to 25% volunteer services will receive only partial credit. Any pledges of volunteer labor or in-kind donations of goods or services MUST be documented in writing by the donors and be included with the Matching Share Form.*

6 pts   11.   Projects whose sponsors are minority or disadvantaged organizations. *Explain how the project sponsor (the applicant organization) qualifies as a minority or disadvantaged organization or directly serves a minority or disadvantaged group (ethnic background, language, culture, religion, socio-economic conditions, gender).*

6 pts   12.   Projects whose sponsors have submitted a complete application. *The application must contain all of the completed forms and required information, and must be received by the DHPA prior to the published grant deadline. Applicants are strongly encouraged to submit their applications early so that the DHPA Staff can verify that they are complete. Applications missing any parts after the application deadline will not receive these points, and may receive reduced scores for other priorities.*

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142 Points Possible